DT14 Rec'd PCT/PTO 2 7 DEC 2004

PTO-1390 (Rev. 12-2004)
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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
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	ANSMITTAL LETTER TO THE UNITED STATES	ATTORNEY'S DOCKET NUMBER 37324
	DESIGNATED/ELECTED OFFICE (DO/EO/US) CERNING A SUBMISSION UNDER 35 U.S.C. 371	U.S. APPLICATION, NO. (If known, see 37 CFR 1.5)
	IONAL APPLICATION NO. INTERNATIONAL FILING DATE	PRIORITY DATE CLAIMED
PCT/JP	03/08046   25 June 2003(25.06.03)	26 June 2002 (26.06.02)
TITLE OF I	NVENTION SETTLEMENT INFORMATION NOTIFYING SERV NOTIFYING SETTLEMENT INFORMATION	ICE SERVER AND METHOD FOR
APPLICAN URAKAM	T(S)FOR DO/EO/US I, Keiji; TOYOSHIMA, Shigeru; KATSUMATA, Mich	ivasu: and URATA Yasuto.
	herewith submits to the United States Designated/Elected Office (DO/E0	
1. 🛭 T	his is a FIRST submission of items concerning a submission under 35 U.S.C. 37	1.
2. П т	his is a SECOND or SUBSEQUENT submission of items concerning a submission	on under 35 U.S.C. 371.
	his is an express request to begin national examination procedures (35 U.S.C. 375), (6), (9) and (21) indicated below.	71(f)). The submission must include items
4. T	he US has been elected (Article 31).	
5.	A copy of the International Application as filed (35 U.S.C. 371(c)(2))	
	a. is attached hereto (required only if not communicated by the Internation	nal Bureau).
	b. As been communicated by the International Bureau.	
_	c. is not required, as the application was filed in the United States Received	ing Office (RO/US).
6.	An English language translation of the International Application as filed (35 U.S.	C. 371(c)(2)).
	a. is attached hereto.	
5-21	b. has been previously submitted under 35 U.S.C. 154(d)(4).	
7.	Amendments to the claims of the International Application under PCT Article 19	(35 U.S.C. 371(c)(3))
	a. are attached hereto (required only if not communicated by the International Communicated Communicate	itional Bureau).
	b. have been communicated by the International Bureau.	
	c. have not been made; however, the time limit for making such amends	ments has NOT expired.
	d. Mave not been made and will not be made.	
8.	An English language translation of the amendments to the claims under PCT Ar	ticle 19 (35 U.S.C. 371(c)(3)).
9	An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).	*.
10.	An English language translation of the annexes of the International Preliminary E Article 36 (35 U.S.C. 371(c)(5)).	Examination Report under PCT
Items	11 to 20 below concern document(s) or information included:	
11.	An Information Disclosure Statement under 37 CFR 1.97 and 1.98.	
12. 🔲	An assignment document for recording. A separate cover sheet in compliance w	ith 37 CFR 3.28 and 3.31 is included.
13.	A preliminary amendment.	
14.	An Application Data Sheet under 37 CFR 1.76.	
15.	A substitute specification.	
16.	A power of attorney and/or change of address letter.	
17.	A computer-readable form of the sequence listing in accordance with PCT Rule	13 <i>ter</i> .2 and 37 CFR 1.821- 1.825.
18.	A second copy of the published International Application under 35 U.S.C. 154(d)	0(4).
19. 🔲	A second copy of the English language translation of the international application	n under 35 U.S.C. 154(d)(4).

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Other items or information: Certificate of Express Mail; Return Receipt Postcard

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U.S. APPLICATION NO. (if known, see 37 CFR 1.5) INTERNATIONAL APPLICATION NO.					ATTORNEY'S DOCKET NUMBER			
10/519283 PCT/JP03/08046						37324		
21. The following fees are submitted:							olicant use	Office use only
a) Basic national fee\$300.00					\$	300.00	·	
b) Examination fee\$200.00					\$	200.00		
C) Search fee					\$	500.00		
	OTAL OF ABOVE CAL				1000.00	\$	1000.00	
Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.								
	Extra sheets		Number of each additional 50 or fraction thereof (round up to a whole number)					
18 - 100 =	0 /50 =		0	×	\$250.00	\$	0.00	
	.00 for furnishing the oate (37 CFR 1.492(e)).	ith or declar	ration later than 30 months fr	om the e	earliest	\$	130.00	
CLAIMS	NUMBER FIL	ED	NUMBER EXTRA	F	RATE	\$		
Total claims	12	- 20 =	0	x	\$50.00	\$	0.00	
Independent claims		- 3 =	0	X	\$200.00	\$	0.00	
MULTIPLE DEPEN	DENT CLAIM(S) (if ap	plicable)		+	\$360.00	\$	360.00	
			TOTAL OF ABOVE			\$	1490.00	
Applicant clai	ims small entity status.	See 37 CFF	R 1.27. The fees indicated ab	ove are	reduced	\$	0.00	
				SL	JBTOTAL =	\$	1490/00	
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)).					\$	0.00		
TOTAL NATIONAL FEE =						\$	1490.00	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +						\$	0.00	
TOTAL FEES ENCLOSED =						\$	1490.00	
Amount to be refunded:								\$
Amount to be charged								\$
a. A check	in the amount of \$]	,490.0	0 to cover the abo	ve fees i	is enclosed.			
c. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No.16-0820 A duplicate copy of this sheet is enclosed.								
d. Order No. 37324  d. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.								on should not
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.								must be filed
SEND ALL CORRESPONDENCE TO:  GUSTIOMER NO. 000 116  Jeffrey J. Sopko Pearne & Gordon LLP 1801 E. 9th Street, Ste. 1200 Cleveland, Ohio 44114-3108  SIGNATURE SIGNATURE  SIGNATURE  27676  REGISTRATION NUMBER							he	

## **CERTIFICATE OF EXPRESS MAIL**

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Keiji Urakami et al.

Intl. Application No. :

PCT/JP03/08046

Int'l. Filing Date

June 25, 2003

Title

SETTLEMENT INFORMATION NOTIFYING SERVICE

SERVER AND METHOD FOR NOTIFYING SETTLEMENT

**INFORMATION** 

Atty. Docket No.

37324

"Express Mail" mailing label number <u>EV567213955US</u>
Date of Deposit December 27, 2004
I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on the date indicated above and is addressed to Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.
Amanda Wittine
Printed Name of Person Mailing Paper or Fee
Signature of Person Mailing Paper or Fee